

# Deciding whether there are infinitely many prime graphs with forbidden induced subgraphs

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August 2, 2018

## Abstract

A *homogeneous set* of a graph  $G$  is a set  $X$  of vertices such that  $2 \leq |X| < |V(G)|$  and no vertex in  $V(G) - X$  has both a neighbor and a non-neighbor in  $X$ . A graph is *prime* if it has no homogeneous set. We present an algorithm to decide whether a class of graphs given by a finite set of forbidden induced subgraphs contains infinitely many non-isomorphic prime graphs.

**Keywords:** modular decomposition, induced subgraph, prime graph, homogeneous set

## 1 Introduction

All graphs in this paper are simple. We write  $H \preceq_i G$  if a graph  $H$  is isomorphic to an induced subgraph of a graph  $G$ , which is a subgraph of  $G$  obtained by deleting some vertices. A class  $\mathcal{C}$  of graphs is *hereditary* if for all graphs  $H$  and  $G$ ,  $H \in \mathcal{C}$  whenever  $H \preceq_i G$  and  $G \in \mathcal{C}$ . For a set  $X$  of graphs, we say  $G$  is  *$X$ -free* if  $H \not\preceq_i G$  for all  $H \in X$ . Let us write  $\text{Free}(X)$  to denote the class of  $X$ -free graphs. It is clear that for each hereditary class  $\mathcal{C}$  of graphs, there exists a set  $X$  of graphs such that  $\mathcal{C} = \text{Free}(X)$ , simply by taking  $X$  as  $\preceq_i$ -minimal graphs

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\*Supported by the National Research Foundation of Korea (NRF) grant funded by the Korea government (MSIT) (No. NRF-2017R1A2B4005020).

1 not in  $\mathcal{C}$ . Note that this set  $X$  is not necessarily finite (for example, consider the class of  
2 forests, whose minimal forbidden set contains all cycles on three or more vertices).

3 A *homogeneous* set (also known in the literature as clans [12], intervals [17, 20], or  
4 modules [15, 21]) of a graph  $G$  is a set  $X$  of vertices such that  $2 \leq |X| < |V(G)|$  and each  
5 vertex in  $V(G) - X$  is either complete or anti-complete to  $X$ . A graph is *prime*<sup>1</sup> if it has no  
6 homogeneous set.

7 For positive integers  $n$ , let  $P_n$  be a path on  $n$  vertices and let  $K_{1,n}$  be a complete bipartite  
8 graph on  $n + 1$  vertices where one part consists of one vertex. In  $P_4$ -free graphs, also  
9 known as cographs [8], it is well known that they have no prime graphs on three or more  
10 vertices. However, in  $K_{1,3}$ -free graphs, commonly known as claw-free graphs, we can easily  
11 find infinitely many prime graphs, such as all cycle graphs on at least 5 vertices. Thus we may  
12 ask the following question: *for a given set  $L$  of finitely many graphs, can we decide whether*  
13 *there are infinitely many non-isomorphic  $L$ -free prime graphs?* We answer this question  
14 positively as follows.

15 **Theorem 1.1.** *For a given finite set  $L$  of graphs, there exists an algorithm to decide whether*  
16  *$\text{Free}(L)$  contains infinitely many non-isomorphic prime graphs.*

17 Prime graphs form the ‘building blocks’ of all other graphs by means of the *modular*  
18 *decomposition* (See [3, Theorem 1.5.1]). The modular decomposition first appeared in the  
19 abstract of a talk by Fraïssé [13] in 1953, although its first appearance in an article seems to  
20 be Gallai [14]. It has since appeared in a number of contexts, ranging from game theory to  
21 combinatorial optimization.

22 The significance of Theorem 1.1 is that if a hereditary class  $\mathcal{C} = \text{Free}(L)$  of graphs has only  
23 finitely many non-isomorphic prime graphs, then the class has a number of desirable proper-  
24 ties. For example,  $\mathcal{C}$  is well-quasi-ordered by the induced subgraph relation [18, Theorem 6]  
25 (in other words,  $\mathcal{C}$  contains no infinite set of graphs no one of which is an induced subgraph  
26 of any other), and every graph in  $\mathcal{C}$  has bounded *clique-width* [11], which itself gives rise to  
27 a number of desirable algorithmic properties, via the meta-theorem of Courcelle, Makowsky,  
28 and Rotics [10].

29 Brignall, Ruškuc, and Vatter [5] studied an analogous problem for permutations, under  
30 the ‘containment’ ordering. In the theory of permutations, simple permutations correspond  
31 to prime graphs in our context. They proved that there exists an algorithm to determine  
32 whether a given hereditary class of permutations described by finitely many forbidden per-  
33 mutations admits infinitely many simple permutations. To prove the existence of a decision  
34 algorithm, they utilise a theorem on unavoidable subpermutations in large simple permuta-  
35 tions by Brignall, Huczynska, and Vatter [4].

36 For us, it is also necessary to understand unavoidable induced subgraphs in large prime  
37 graphs. Recently Chudnovsky, Kim, Oum, and Seymour [6] proved such a theorem, which  
38 states that every sufficiently large prime graph contains one of a few large prime graphs as  
39 an induced subgraph. We will review this theorem in detail in Theorem 2.2. Our algorithm  
40 will check whether all these unavoidable induced subgraphs are forbidden by the given set  $L$

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<sup>1</sup>Other terms that have been used for ‘prime’ include indecomposable, irreducible, and primitive.

1 of forbidden graphs. If all of them are forbidden, then  $\text{Free}(L)$  contains only finitely many  
 2 non-isomorphic prime graphs and so the algorithm terminates with the answer NO. If at  
 3 least one of them is not forbidden, then we prove that  $\text{Free}(L)$  contains arbitrarily large  
 4 prime graphs and so the algorithm terminates with the answer YES.

5 One outcome of Theorem 2.2 dominates the work to prove Theorem 1.1, namely the case  
 6 of ‘chains’ of length  $n$ , and this is covered in Section 3. In theory, to handle this case one  
 7 could employ automata-theoretic arguments analogous to those used in [5] to handle ‘pin  
 8 sequences’, the direct analogue of chains for permutations. Instead, we will present a purely  
 9 combinatorial argument, using a few applications of the pigeonhole principle, to show that if  
 10 a class  $\text{Free}(L)$  contains arbitrarily long chains, then it must contain arbitrarily long chains  
 11 with a periodic construction, whose period is bounded by a function of the largest graph in  
 12  $L$ .

13 The remaining cases from Theorem 2.2 and hence the proof of Theorem 1.1 are covered  
 14 in Section 4.

## 15 2 Unavoidable induced subgraphs in large prime graphs

16 Chudnovsky, Kim, Oum, and Seymour [6] proved that every sufficiently large prime graph  
 17 contains one of a few large prime graphs as an induced subgraph. After a couple of prelim-  
 18 inary concepts, we introduce definitions of those large prime graphs and the result in this  
 19 section.

20 The *1-subdivision* of a graph  $G$  is the graph  $H$  obtained from  $G$  by subdividing every  
 21 edge once. The *line graph* of a graph  $G$  is the graph  $H$  whose vertex set is  $V(H) = E(G)$   
 22 and two vertices  $e_1, e_2$  are adjacent in  $H$  if two edges  $e_1, e_2$  share an end in  $G$ . We are  
 23 particularly interested in the 1-subdivision of  $K_{1,n}$ , and the line graph of  $K_{2,n}$ , both of which  
 24 are prime for all  $n \geq 3$ , and illustrated in Figure 1(i) and (ii), respectively.

25 The *thin spider with  $n$  legs* is the graph  $H$  with vertex set  $V(H) = \{v_1, v_2, \dots, v_n\} \cup$   
 26  $\{u_1, u_2, \dots, u_n\}$  and edge set  $E(H) = \{v_i u_i : 1 \leq i \leq n\} \cup \{u_i u_j : 1 \leq i < j \leq n\}$ . The *half-*  
 27 *graph of height  $n$*  is the graph  $H$  with vertex set  $V(H) = \{v_1, v_2, \dots, v_n\} \cup \{u_1, u_2, \dots, u_n\}$   
 28 and edge set  $E(H) = \{v_i u_j : 1 \leq i \leq j \leq n\}$ . The graph  $H'_{n,I}$  has vertex set  $V(H'_{n,I}) =$   
 29  $\{v_1, v_2, \dots, v_n\} \cup \{u_1, u_2, \dots, u_n\} \cup \{w\}$  and edge set  $E(H'_{n,I}) = \{w v_i : 1 \leq i \leq n\} \cup \{v_i u_j :$   
 30  $1 \leq i \leq j \leq n\} \cup \{u_i u_j : 1 \leq i < j \leq n\}$ . Finally, the graph  $H_n^*$  has vertex set  $V(H_n^*) =$   
 31  $\{v_1, v_2, \dots, v_n\} \cup \{u_1, u_2, \dots, u_n\} \cup \{w\}$  and edge set  $E(H_n^*) = \{w v_1\} \cup \{v_i u_j : 1 \leq i \leq j \leq$   
 32  $n\} \cup \{u_i u_j : 1 \leq i < j \leq n\}$ . Examples of these graphs are illustrated in Figure 1(iii)–(vi),  
 33 and it is easy to see that these graphs are prime.

34 A *chain*  $C$  of length  $n$  is a sequence  $v_0, v_1, \dots, v_n$  of distinct vertices such that for each  
 35  $i \in \{2, \dots, n\}$ ,  $v_i$  is adjacent to all  $v_0, v_1, \dots, v_{i-2}$  but not  $v_{i-1}$ , or non-adjacent to all  
 36  $v_0, v_1, \dots, v_{i-2}$  but adjacent to  $v_{i-1}$ . We call  $v_0$  the *first vertex* of the chain. The graph  
 37 induced by a chain of length  $n$  is prime, or is prime after discarding one of the vertices  $v_0$  or  
 38  $v_1$ , as shown by the following result.

39 **Proposition 2.1** ([6, Corollary 2.3]). *Every chain of length  $n > 3$  contains a chain of length*  
 40  *$n - 1$  inducing a prime graph.*

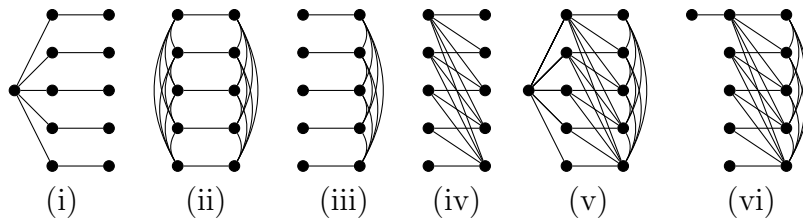


Figure 1: Examples of the unavoidable graphs of cases (i)–(vi) in Theorem 2.2.

Note that, in a slight departure from [6], we will not necessarily require that a chain is contained inside some specified graph. Instead, chains can be considered as sequences of vertices, which may or may not be embedded inside some larger graph, depending on the context. Additionally, we may from time to time abuse notation by referring to the chain when we mean the graph induced by a chain.

We are now ready to state the main result of [6], which provides the structural basis for our algorithm.

**Theorem 2.2** (Chudnovsky, Kim, Oum and Seymour [6]). *For every integer  $n \geq 3$ , there exists  $N$  such that every prime graph with at least  $N$  vertices contains one of the following graphs as an induced subgraph.*

(i) *The 1-subdivision of  $K_{1,n}$  or its complement.*

(ii) *The line graph of  $K_{2,n}$  or its complement.*

(iii) *The thin spider with  $n$  legs or its complement.*

(iv) *The half-graph of height  $n$ .*

(v) *The graph  $H'_{n,I}$ .*

(vi) *The graph  $H_n^*$  or its complement.*

(vii) *A prime graph induced by a chain of length  $n$ .*

Note that in the characterization of Theorem 2.2, the complements of the half-graphs (case (iv)) and  $H'_{n,I}$  (case (v)) both contain (as induced subgraphs) graphs of the same type, with two vertices removed. Since the graphs in cases (i)–(vi) of Theorem 2.2 admit regular structures, it is relatively straightforward to check whether a class  $\text{Free}(L)$  contains arbitrarily large ones. The details are provided in Section 4.

### 3 Chains and strings

In this section, we consider the chains that arise in case (vii) of Theorem 2.2. Note that the complement of a chain is again a chain.

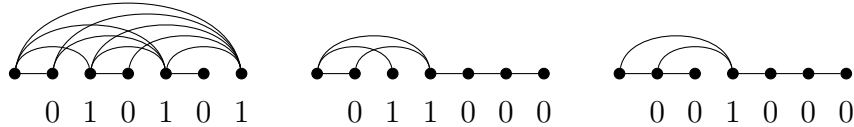


Figure 2: Examples of chains, and their encodings as strings via the bijection  $\phi$ . Note the two examples on the right give rise to graphs that are isomorphic.

For convenience, we seek to describe an encoding of chains as strings over the alphabet  $\{0, 1\}$ . First, we introduce some elementary concepts about strings.

A  $(0, 1)$ -string (or simply a *string*) is an element of  $\{0, 1\}^*$ , where  $\{0, 1\}^*$  is the set of all finite sequences of 0 and 1. The *length* of a string  $S$  is the number of 0's and 1's in the string and is denoted by  $|S|$ . Given strings  $S$  and  $T$ , we denote the *concatenation* (defined in the natural way) by  $ST$ . For example, if  $S = 011$  and  $T = 101$ , then  $ST = 011101$ . Let  $S^t$  denote the concatenation of  $t$  copies of a string  $S$ . For example,  $S^3 = SSS$ .

We say that  $T$  is a *factor* of  $S$ , or  $S$  *contains*  $T$  as a factor, if there exist strings  $X$  and  $Y$  such that  $S = XTY$ . An *occurrence* of  $T$  in  $S$  is a pair  $(T, i)$  such that  $S = XTY$  and  $|X| = i - 1$  (that is,  $T$  is a factor of  $S$  that starts at the  $i$ -th letter). Furthermore, we say that the occurrences  $(S_1, i_1)$  and  $(S_2, i_2)$  of two (possibly equal) factors inside some string  $S$  with  $i_1 \leq i_2$  are *1-disjoint* if  $i_1 + |S_1| < i_2$  (in other words, there is at least one letter of  $S$  that is not used in either of the occurrences, but lies 'between'  $S_1$  and  $S_2$ ), and they *intersect* if  $i_1 + |S_1| > i_2$ .

We are now ready for the basic encoding of chains into strings, which we will denote by  $\phi$ . For a chain  $C = v_0, v_1, \dots, v_k$  of length  $k$ , let  $\phi(C) = s_1 s_2 \dots s_k$  where  $s_i = 0$  if  $v_i$  is adjacent to  $v_{i-1}$ , and  $s_i = 1$  otherwise for each  $i \in \{1, \dots, k\}$ . Note that  $\phi$  is a bijection between chains and strings, but recall that the graphs induced by two distinct chains  $C_1$  and  $C_2$  can be isomorphic and so a graph that is induced by some chain does not necessarily have a unique representation as a string. Note also that if  $C$  contains  $k + 1$  vertices, then  $\phi(C)$  contains  $k$  letters, because the first vertex is not assigned a letter. See Figure 2.

We say that a graph  $G$  is *induced by* a string  $S$  if  $G$  is induced by  $C = \phi^{-1}(S)$ . Similarly, we say that a string  $S$  *contains* a graph  $G$  if the graph induced by  $S$  contains  $G$  as an induced subgraph.

In addition to encoding chains into strings, we also need to be able to encode subgraphs of strings, in order to identify when a given string contains graphs from the minimal forbidden set  $L$ . To this end, suppose that  $G$  is a graph on  $n$  vertices that embeds inside some string  $S$ . If  $\phi^{-1}(S) = v_0, v_1, \dots, v_k$ , then  $G$  is isomorphic to the graph induced on the subsequence  $v_{i_1}, v_{i_2}, \dots, v_{i_n}$  that corresponds to the chosen embedding, where  $0 \leq i_1 < i_2 < \dots < i_n \leq k$ . We now define a new encoding  $\psi$  from subsequences of chains (or embeddings of graphs into chains) into strings over the three-letter alphabet  $\{0, 1, |\}$ .

For each  $j$  ranging from 2 to  $n$ , the encoding  $\psi$  writes symbols according to the following rules: if  $i_j = i_{j-1} + 1$ , then write 0 if  $v_{i_j}$  is adjacent to  $v_{i_{j-1}}$ , and 1 otherwise. When  $i_j > i_{j-1} + 1$ , write  $|$  0 if  $v_{i_j}$  is *not* adjacent to  $v_{i_{j-1}}$  (and all earlier vertices), and  $|$  1 otherwise. If  $G$  is isomorphic to the graph induced on the subsequence  $M$  of some chain,

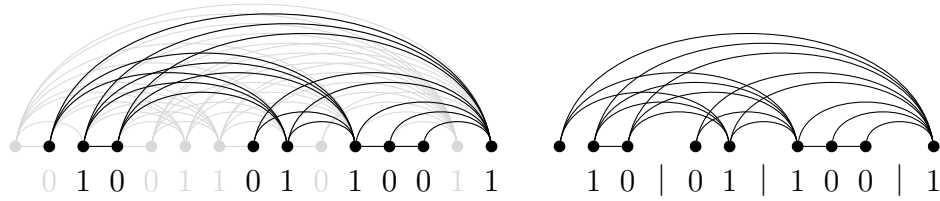


Figure 3: On the left, an embedding  $M$  of a graph inside the chain with string 01001101010011. On the right, the encoding of  $M$  is the representation  $\psi(M) = 10 \mid 01 \mid 100 \mid 1$  with blocks 10, 01, 100 and 1.

1 then we call  $\psi(M)$  a *representation* of  $G$ . A *block* of a representation is a maximal factor  
 2 that contains only the letters 0 and 1. See Figure 3. Note that if a representation begins  
 3 with the symbol  $\mid$ , then we will assume that there is an empty block preceding it.

4 Before we go further, we need to make a few remarks about the strings created under  
 5 the encoding  $\psi$ . Suppose that  $M$  is an embedding (or subsequence) of a graph on  $n$  vertices  
 6 inside some chain.

- 7 •  $\psi(M)$  has exactly  $n - 1$  symbols that are 0 or 1.
- 8 •  $\psi(M)$  cannot contain the factor  $\mid\mid$ , nor can it end with the symbol  $\mid$ . Therefore, there  
 9 are at most  $n - 1$  instances of the symbol  $\mid$  in  $\psi(M)$ .
- 10 •  $\psi(M)$  therefore contains at most  $2n - 2$  letters.
- 11 •  $\psi$  is not a bijection, because it does not remember the specific positions of vertices of  
 12  $M$  in the chain.

13 At this point we make an important observation: one can view the reverse process of  $\psi$ ,  
 14 from words over the alphabet  $\{0, 1, \mid\}$  to graphs, as a monadic second-order transduction,  
 15 from which it is possible to conclude that the edge relation on subgraphs of chains is definable  
 16 by a monadic second-order formula. This gives rise to a decision procedure for whether  
 17  $\text{Free}(L)$  contains arbitrarily long or not via the Backwards Translation Theorem (see [9,  
 18 Theorem 7.10]), as the language over  $\{0, 1, \mid\}$  corresponding to  $\text{Free}(L)$  is regular. This  
 19 approach is essentially the same as the one given in the case of permutations, see [5], but it  
 20 is not the approach we use here.

21 Instead, the decision procedure we present here comprises two parts and is elementary  
 22 (in that it requires only the pigeonhole principle applied to the structures introduced in this  
 23 section so far). First, we establish that if there exists a chain of a specified (large) length  
 24 in  $\text{Free}(L)$ , then there exists arbitrarily long chains with a periodic structure, where the  
 25 size of the period is bounded above by a function of the largest forbidden graph in  $L$  (this  
 26 may be compared to the ‘pumping lemma’ in the study of regular languages). Note that  
 27 by exhaustively checking membership in  $\text{Free}(L)$  of all chains of the specified large length,  
 28 this result is already sufficient for a decision procedure. However, the second part of our

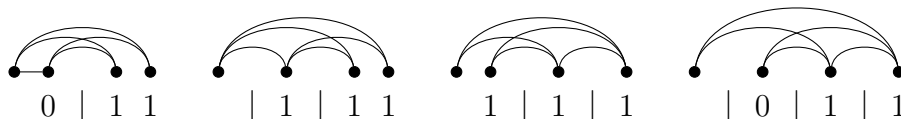


Figure 4: Four different representations of the same graph  $K_4 - e$ .

1 procedure gives us a simpler method, namely a check for whether a particular chain sequence  
 2 can be repeated arbitrarily often.

3 Now we consider the total number of possible representations of graphs on  $n$  vertices.  
 4 Each representation is obtained from a  $(0, 1)$ -string of length  $n - 1$  by inserting at most  
 5  $n - 1$  copies of the symbol  $|$ . There are  $2^{n-1}$   $(0, 1)$ -strings of length  $n - 1$ , and there are  
 6  $2^{n-1}$  choices of inserting the symbol  $|$  or not at each position. Thus, we deduce the following  
 7 observation.

8 **Observation 3.1.** *For each positive integer  $n$ , there are at most  $2^{2n-2}$  representations of*  
 9 *graphs on  $n$  vertices. Moreover, each such representation  $R$  has at most  $n$  blocks.*

10 Now consider a representation  $R$  of a graph with  $n$  vertices. Although we cannot recover  
 11 the specific embedding of this graph in a chain that gave rise to the representation, we can  
 12 reconstruct the graph from  $R$  in the natural way: create vertices  $v_1, v_2, \dots, v_n$ , where  $v_2, \dots, v_n$   
 13 correspond to the non- $|$  symbols in  $R$ , reading from left to right. For each  $i$  ( $2 \leq i \leq n$ ), the  
 14 adjacencies of  $v_i$  to the previous  $i - 1$  vertices is determined by the letter of  $R$  corresponding  
 15 to  $v_i$  (which is either 0 or 1), and the letter (if it exists) immediately preceding this one in  
 16  $R$  (specifically, whether this symbol is  $|$  or not).

17 Given the above reconstruction process, each representation  $R$  corresponds to a unique  
 18 graph  $G$ . However, each graph  $G$  can have several corresponding representations – see  
 19 Figure 4 for an example. We let  $\mathcal{R}_G$  denote the set of all representations that correspond to  
 20 a given graph  $G$ . Note that  $|\mathcal{R}_G| \leq 2^{2|V(G)|-2}$  by Observation 3.1.

21 Our final preparatory task is to observe how a representation  $R \in \mathcal{R}_G$  can be embedded  
 22 in some given  $(0, 1)$ -strings  $S$ . We say that the string  $S$  *contains* the representation  $R$  if

- 23 (1) each block of  $R$  is embedded as a factor in  $S$ , and  
 24 (2) every pair of distinct blocks  $B_i$  and  $B_j$  are embedded as 1-disjoint factors, with the factor  
 25 corresponding to  $B_i$  preceding that of  $B_j$  if and only if  $B_i$  precedes  $B_j$  in  $R$ .

26 Now, we introduce two lemmas for the proof of Theorem 1.1.

27 **Lemma 3.2.** *Let  $L$  be a set of graphs having at most  $n$  vertices. If there exists a  $(0, 1)$ -string*  
 28  *$T$  of length at least  $\lceil \frac{(n-1)4^n + 1}{3} \rceil (2^{n-2} + n - 1)$  containing no graphs in  $L$ , then there exists a*  
 29  *$(0, 1)$ -string  $S$  of length at most  $2^n$  such that the  $(0, 1)$ -string  $S^k$  contains no graph in  $L$  for*  
 30 *all  $k$ .*

1 *Proof.* Let  $\mathcal{R} = \cup_{G \in L} \mathcal{R}_G$  be the set of all representations of graphs from  $L$ . Note that, by  
2 Observation 3.1, we have  $|\mathcal{R}| \leq \sum_{k=1}^n 2^{2k-2} \leq 4^n/3$ . Furthermore, each representation in  $\mathcal{R}$   
3 has at most  $n$  blocks.

4 Let  $s = \lceil \frac{(n-1)4^n+1}{3} \rceil$ . We may assume that  $|T| = s(2^{n-2} + n - 1)$ . We can rewrite  
5  $T = T_1 \ell_1 T_2 \ell_2 \cdots T_s \ell_s$  where  $|T_i| = 2^{n-2} + n - 2$  and  $\ell_i = 0$  or 1 for all  $i$ . Thus, the  $T_i$  are  
6 pairwise 1-disjoint.

7 We claim that there exists  $j^*$  such that for every representation  $R \in \mathcal{R}$ , at least one  
8 block of  $R$  is not a factor of  $T_{j^*}$ . Suppose not. Then for each  $j \in \{1, 2, \dots, s\}$ , there exists  
9  $R_j \in \mathcal{R}$  such that  $T_j$  contains each block of the representation  $R_j$  as a factor. Note that the  
10 blocks of  $R_j$  in  $T_j$  may overlap and may appear in the incorrect order. Since  $s > (n-1)4^n/3$   
11 and  $|\mathcal{R}| \leq 4^n/3$ , by the pigeonhole principle, at least  $n$  of the  $T_j$  must contain all the blocks  
12 from one particular representation  $R^* \in \mathcal{R}$  as a factor. That is, there exists a subsequence  
13  $j_1, j_2, \dots, j_n$  of  $1, 2, \dots, s$  such that  $R_{j_1} = R_{j_2} = \cdots = R_{j_n} = R^*$ . This means that by  
14 considering the factor of  $T_{j_1}$  equal to the first block of  $R^*$ , the factor of  $T_{j_2}$  equal to the  
15 second block, and so on, and recalling that the  $T_{j_k}$  are pairwise 1-disjoint, we find that  $T$   
16 contains the representation  $R^*$ . Therefore  $T$  contains some  $G \in L$ , a contradiction which  
17 proves the claim.

18 Now,  $T_{j^*}$  does not contain at least one block of every representation  $R \in \mathcal{R}$  as a factor.  
19 By the pigeonhole principle, since  $|T_{j^*}| = 2^{n-2} + n - 2$ , there exist two (not necessarily  
20 disjoint) occurrences  $(A, a_1)$  and  $(A, a_2)$  in  $T_{j^*}$  such that  $|A| = n - 2$ , and  $a_1 < a_2$ . That is,  
21 we find the same factor of  $n - 2$  letters occurring at least twice in  $T_{j^*}$ .

22 Now, consider the occurrence  $(S, a_1)$  in  $T_{j^*}$  where  $S$  is a factor of  $T_{j^*}$  of length  $a_2 - a_1$ ,  
23 in other words,  $T_{j^*} = K_1 S A K_2$  for some (possibly empty) prefix  $K_1$  and suffix  $K_2$  of  $T_{j^*}$ .  
24 Note that  $|S| \leq 2^{n-2}$  since  $T_{j^*}$  has length  $2^{n-2} + n - 2$  and  $|A| = n - 2$ . We claim that  
25  $\phi^{-1}(S^k) \in \text{Free}(L)$  for all  $k$ .

26 Suppose to the contrary that there exists  $k$  such that  $S^k$  contains some representation  
27  $R \in \mathcal{R}$ . By construction of  $T_{j^*}$ , there is some block  $B$  of  $R$  that is not contained in  $T_{j^*}$  as a  
28 factor, and therefore  $B$  is not contained in  $SA$  or in  $S$  as a factor. Moreover, by construction  
29 of  $S$ , we observe that either  $S^k$  is a factor of  $SA$ , or  $SA$  is a factor of  $S^k$ . See Figure 5.

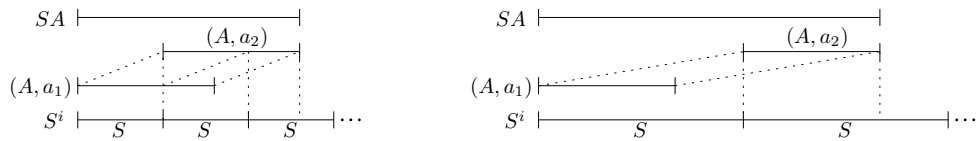


Figure 5: Since both occurrences  $(A, a_1)$  and  $(A, a_2)$  represent the same factor, we can deduce that for each positive integer  $i$ , either  $S^i$  is a factor of  $SA$  or  $SA$  is a factor of  $S^i$ .

30 If  $S^k$  is a factor of  $SA$ , then since the block  $B$  is a factor of  $S^k$ , it is also a factor of  
31  $SA$ , which is a contradiction. Therefore,  $SA$  is a factor of  $S^k$ . We may assume that  $B$   
32 is embedded as a factor in  $S^k$  starting from an entry in the first copy of  $S$ . Note that  $A$   
33 contains precisely  $n - 2$  letters, and  $B$  contains at most  $n - 1$  letters. From this, we conclude



1 that  $B$  embeds into  $SA$  (starting from an entry in the prefix  $S$ ), another contradiction.

2 Thus we conclude that  $S^k$  contains no representation  $R \in \mathcal{R}$  for all  $k$ , which completes  
3 the proof.  $\square$

4 Lemma 3.2 tells us that if a class  $\text{Free}(L)$  contains arbitrarily long chains then it contains  
5 arbitrarily long chains with a periodic construction, whose period is at most  $2^n$ . Our next  
6 lemma gives us the necessary practical condition for our decision procedure to test whether  
7 a string can be repeated arbitrarily many times or not.

8 **Lemma 3.3.** *Let  $L$  be a set of graphs having at most  $n$  vertices. Let  $S$  be a string. If  $S^{2^{n-1}}$   
9 contains none of the graphs in  $L$ , then  $\text{Free}(L)$  contains  $\phi^{-1}(S^k)$  for all  $k$ .*

10 *Proof.* Suppose that the lemma is false. Let  $M$  be the minimum number such that  $S^M$   
11 contains at least one graph  $G \in L$ . This means that there exists a representation  $R \in \mathcal{R}_G$   
12 which is contained in  $S^M$ . Fix one such embedding of  $R$  in  $S^M$ . Since  $M \geq 2n$  and  
13  $|V(G)| \leq n$ , there exist two consecutive copies of  $S$  neither of which is used in the embedding  
14 of  $R$  in  $S^M$ . We can therefore eliminate one of these two copies of  $S$  while still ensuring that  
15 the blocks of  $R$  are 1-disjoint (to ensure  $R$  can still be embedded in the resulting string).  
16 That is,  $S^{M-1}$  still contains  $R$ , which is a contradiction since  $M$  is the minimum number  
17 such that  $S^M$  contains at least one graph in  $L$ .  $\square$

## 18 4 Proof of the main result

19 In this section, we prove our main result, Theorem 1.1. Recall the statement of our main  
20 theorem.

21 **Theorem 1.1.** *For a given finite set  $L$  of graphs, there exists an algorithm to decide whether  
22  $\text{Free}(L)$  contains infinitely many non-isomorphic prime graphs.*

23 Let  $\mathcal{G}_n$  be the set that consists of the 1-subdivision of  $K_{1,n}$  and its complement, the line  
24 graph of  $K_{2,n}$  and its complement, the thin spider with  $n$  legs and its complement, the half-  
25 graph of height  $n$ , the graph  $H'_{n,I}$ , and the graph  $H_n^*$  and its complement. In other words,  
26  $\mathcal{G}_n$  contains one representative of each type of graph in Theorem 2.2 except for chains. Note  
27 that it is routine to check that all the graphs in  $\mathcal{G}_n$  are prime.

28 By Theorem 2.2, a large prime graph that does not contain a chain of length  $n$  must  
29 contain a graph in  $\mathcal{G}_n$ . For a graph in  $\mathcal{G}_n$ , it is easy to deduce the following lemma by  
30 the definition of  $\mathcal{G}_n$ . For an example, suppose that a graph  $G$  with  $n$  vertices is an induced  
31 subgraph of the 1-subdivision of  $K_{1,N+1}$ . Let  $v$  be a vertex of degree  $N+1$  in the 1-subdivision  
32 of  $K_{1,N+1}$ , let  $u_1, u_2, \dots, u_{N+1}$  be neighbors of  $v$ , and let  $v_i$  be a neighbor of  $u_i$  other than  $v$   
33 for each  $i$ . Now, there exist  $u_i$  and  $v_i$  such that neither  $u_i$  nor  $v_i$  are in  $G$ . We delete  $u_i$  and  
34  $v_i$  from the 1-subdivision of  $K_{1,N+1}$  to obtain the 1-subdivision of  $K_{1,N}$  that contains  $G$  as  
35 an induced subgraph. We can prove similarly for other cases.

1 **Lemma 4.1.** *Let  $G$  be a graph on  $n$  vertices and let  $N$  be an integer with  $N \geq \max\{n, 3\}$ .*  
2 *If  $G$  is an induced subgraph of some graph in  $\mathcal{G}_{N+1}$ , then there exists a graph  $H$  in  $\mathcal{G}_N$  such*  
3 *that  $G$  is an induced subgraph of  $H$ .  $\square$*

4 Finally, we give the proof of our main theorem, providing Algorithm 1.

5 *Proof of Theorem 1.1.* Let  $n \geq 3$  be the minimum integer such that every graph in  $L$  has at  
6 most  $n$  vertices. By the contrapositive statement to Lemma 4.1, if  $\mathcal{G}_n$  has a graph in  $\text{Free}(L)$   
7 then  $\text{Free}(L)$  must contain a graph from  $\mathcal{G}_N$  for every  $N \geq n$ . Hence  $\text{Free}(L)$  has infinitely  
8 many non-isomorphic prime graphs.

9 Now, we may assume that every graph in  $\mathcal{G}_n$  is not in  $\text{Free}(L)$ . By Theorem 2.2, it is  
10 enough to decide whether  $\text{Free}(L)$  has infinitely many non-isomorphic prime graphs induced  
11 by chains. If there exists a string  $S$  of length at most  $2^n$  such that  $\phi^{-1}(S^{2^{n-1}}) \in \text{Free}(L)$ ,  
12 then by Proposition 2.1 and Lemma 3.3,  $\text{Free}(L)$  has infinitely many non-isomorphic prime  
13 graphs induced by chains.

14 On the other hand, if  $\phi^{-1}(S^{2^{n-1}}) \notin \text{Free}(L)$  for every string  $S$  of length at most  $2^n$ ,  
15 then by Lemma 3.2 the maximum length of a chain contained in  $\text{Free}(L)$  is less than  
16  $\lceil \frac{(n-1)4^n + 1}{3} \rceil (2^{n-2} + n - 1)$ , which implies that  $\text{Free}(L)$  has only finitely many non-isomorphic  
17 prime graphs.  $\square$

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**Algorithm 1** Does  $\text{Free}(L)$  contain infinitely many prime graphs?

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- 1: Let  $L$  be the input set of graphs and let  $n \geq 3$  be the minimum integer such that every graph in  $L$  has at most  $n$  vertices.
  - 2: **if**  $\mathcal{G}_n$  has a graph in  $\text{Free}(L)$  **then**
  - 3:     output YES.
  - 4: **else if** there exists a string  $S$  of length at most  $2^n$  such that the string  $S^{2^{n-1}}$  contains no graph in  $L$  **then**
  - 5:     output YES.
  - 6: **else**
  - 7:     output NO.
  - 8: **end if**
- 

## 18 5 Concluding remarks

19 **Complexity of the procedure.** We have not made any particular effort to optimize  
20 the procedure described above. The majority of the work lies in determining whether a  
21 hereditary class  $\text{Free}(L)$  admits arbitrarily long chains or not, and here one may need to  
22 exhaust over all  $2^{2^n+1} - 1$  chains of length at most  $2^n$ , where  $n = \max_{G \in L} |G|$ . By contrast,  
23 Lemma 4.1 shows that in order to check whether  $\text{Free}(L)$  contains arbitrarily large prime  
24 graphs of the other types listed in Theorem 2.2, it suffices to check whether each of the 10  
25 graphs in  $\mathcal{G}_n$  (each having at most  $2n + 1$  vertices) contains some graph in  $L$ .

1 In the analogous problem of deciding whether a hereditary class of permutations contains  
2 only finitely many simple permutations, a recent paper due to Bassino, Bouvel, Pierrot and  
3 Rossin [2] establishes an algorithm with run time  $O(nk \log(nk) + n^{2k})$ , where  $n$  is the size  
4 of the largest forbidden permutation, and  $k$  is the number of forbidden permutations. It is  
5 quite possible that a similar detailed analysis of chains in graphs could lead to a much more  
6 efficient algorithm.

7 **Finding all the prime graphs in a class.** If our decision procedure returns YES, then  
8 in theory it could provide a ‘certificate’ of an infinite family of prime graphs that the class  
9 contains. On the other hand, if the procedure returns NO, then Lemmas 3.2 and 4.1 give  
10 bounds on the number of vertices that the largest prime graph in the class can contain.  
11 However, the following result (recently re-discovered by Chudnovsky and Seymour [7]), gives  
12 a more practical method that may terminate sooner:

13 **Proposition 5.1** (Schmerl and Trotter [20]). *Let  $n \geq 3$  be an integer. Every prime graph*  
14 *on  $n$  vertices contains a prime induced subgraph on  $n - 1$  or  $n - 2$  vertices.*

15 Furthermore, the only prime graphs that do not contain a prime graph on 1 fewer vertices  
16 are the half-graphs of height  $n$ , and their complements. Thus, to list all prime graphs in  
17 a class, one can successively generate and check for membership the prime graphs of each  
18 order, and halt as soon as one finds two consecutive integers where the hereditary class  
19 contains no prime graphs of that order.

20 **Classes with infinitely many minimal forbidden graphs** One may ask whether it is  
21 possible for a hereditary class  $\mathcal{C} = \text{Free}(L)$  to contain only finitely many prime graphs when  
22  $L$  is an *infinite* minimal set of forbidden graphs. The answer to this is no: any hereditary  
23 class containing only finitely many prime graphs possesses the property of being *labelled* well-  
24 quasi-ordered (see [1, Theorem 2]), and any such class is defined by a finite set of minimal  
25 forbidden graphs (this latter observation is essentially due to Pouzet [19]).

26 The same observation (that a hereditary class with only finitely many prime graphs is  
27 defined by finitely many minimal forbidden graphs) also leads to a quick proof of a special  
28 case of the results concerning ‘prime extensions’: namely that a finite set of prime graphs  
29 necessarily only has finitely many prime extensions (see Giakoumakis and Olariu [16]).

30 **Acknowledgment** The authors would like to thank the reviewer for providing a careful  
31 review and an alternative proof of the theorem in terms of monadic second-order logic.

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