

<b>Project title</b>	Substitution dynamics and semigroups
<b>Principal supervisors</b>	Uwe Grimm, Ian Short
<b>Discipline</b>	Pure mathematics
<b>Research area/keywords</b>	dynamical systems, symbolic dynamics, spectral theory
<b>Suitable for</b>	Full-time or part-time applicants

**Project background and description**

This project is about substitution dynamical systems, one of the simplest of which can be described graphically as follows. Let  $f$  be the transformation that satisfies the rules

$$\text{blue square} \rightarrow \text{blue square blue square} \quad \text{red square} \rightarrow \text{blue square}$$

Now consider the pattern that emerges if we apply  $f$  repeatedly, starting from a single tile . The first step is

$$\text{blue square} \rightarrow \text{blue square blue square}$$

For the next step, we apply  $f$  to each tile of  separately, to give

$$(\text{blue square blue square}) \text{ blue square} = \text{blue square blue square blue square}$$

Continuing in this way, and making some natural assumptions about composition, we obtain

$$\text{blue square} \rightarrow \text{blue square red square} \rightarrow \text{blue square red square blue square} \rightarrow \text{blue square red square blue square blue square red square} \rightarrow \dots$$

Proceeding in this fashion indefinitely, we end up with an infinite sequence of tiles

$$\text{blue square red square blue square blue square red square blue square red square blue square} \dots$$

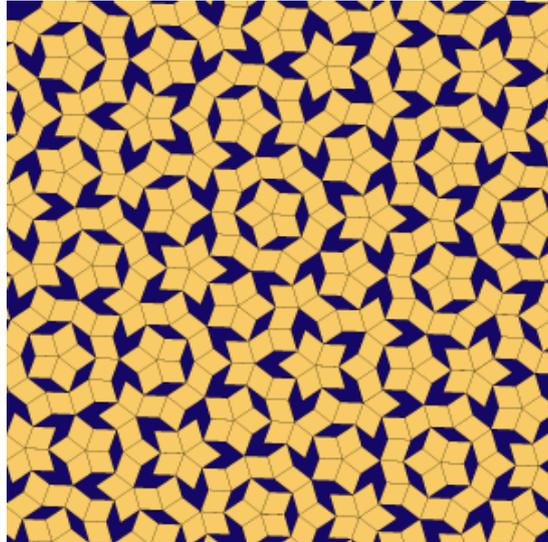
The resulting tiling is said to be *aperiodic* because it has no translational symmetries. The transformation  $f$  is called the *Fibonacci substitution* because after applying this transformation  $n$  times there are  $F_n$  red tiles,  $F_{n+1}$  blue tiles, and  $F_{n+2}$  tiles in total, where  $F_1, F_2, F_3, \dots$  is the Fibonacci sequence

$$1, 1, 2, 3, 5, 8, 13, \dots,$$

which satisfies the recurrence relation  $F_{n+2} = F_{n+1} + F_n$ .

Tilings of this type really come to life in two dimensions, where, by similar methods, we can obtain beautiful aperiodic structures such as the one below.

This aperiodic tiling, taken from the online Tilings Encyclopedia, was first constructed by the mathematical physicist Roger Penrose in the early 1970s. The work of Penrose and others has attracted a great deal of interest in mathematics, partly because of the rich geometric and dynamic structure of these tilings, but also because of their relationship to remarkable physical objects called *quasicrystals*, the discovery of which earned the material scientist Dan Shechtman the Nobel Prize for Chemistry in 2011.



Recent research has examined the effect of combining substitutions. Consider, for example, the simple substitution  $g$  that satisfies

$$\square \rightarrow \square \quad \square \rightarrow \square.$$

Applying  $g$  repeatedly, starting from a single tile  $\square$ , gives rise to the alternating sequence

$$\square \rightarrow \square \rightarrow \square \rightarrow \square \rightarrow \dots$$

What is more intriguing is the tiling that emerges if we apply a sequence of the transformations  $f$  and  $g$  in some order. For instance, if we apply  $f$ , then  $f \circ g$ , then  $f \circ g \circ f$  to the starting tile  $\square$  then we obtain the pattern

$$\square \rightarrow \square \square \rightarrow \square \rightarrow \square \square \square.$$

More generally, given a sequence  $H_n = h_1 \circ h_2 \circ \dots \circ h_n$ , where  $h_i$  is equal to either  $f$  or  $g$  for  $i = 1, 2, \dots$ , we can study the tilings that emerge as images of  $\square$  under  $(H_n)$ .

This project is about the dynamics, geometry and spectral properties of mixed substitutions of this type. We will investigate how the behaviour of sequences such as  $(H_n)$  relates to the semigroup generated by  $f$  and  $g$ , which comprises all possible compositions of words in  $f$  and  $g$ . This collection of related objects is rich in arithmetic and geometric structure, and it feeds into a variety of other mathematical disciplines, including dynamical systems, continued fractions theory, and fractal geometry.

### Background reading/references

- M. Baake and U. Grimm, *Aperiodic order. Vol. 1*, Encyclopedia of Mathematics and its Applications, 149, Cambridge University Press, Cambridge, 2013.
- M. Baake, D. Damanik and U. Grimm, *What is . . . aperiodic order?*, Notices Amer. Math. Soc. **63** (2016), no. 6, 647–650. <https://arxiv.org/pdf/1512.05104.pdf>
- M. Baake, D. Damanik and U. Grimm, *Aperiodic order and spectral properties*. <https://arxiv.org/pdf/1506.04978.pdf>